

JTW 3621

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Dated: April 13, 2005

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.
Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A
NETWORK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: April 13, 2005

Respectfully submitted,

By: 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

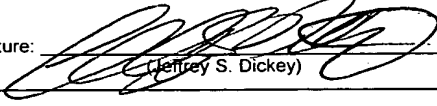
600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

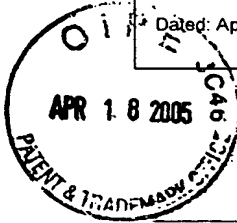
Attorney for Applicant

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CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, or, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: April 13, 2005

Respectfully submitted,

By 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

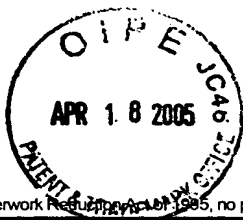
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	S. A. Cangialosi
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.	
	AD	US-5,280,527	01/1994	Gullman et al.	
	AU	US-6,167,517	12-26-2000	Gilchrist et al.	
	AV	US-6,269,348-B1	07-31-2001	Pare, Jr. et al.	
	AW	US-6,270,011-B1	08-07-2001	Gottfried	
	AZ	US-6,615,264-B1	09-02-2003	Stoltz et al.	
	AA1	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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